

SECOND SCHEDULE (Regulation 9)

PROVISIONS OF REGULATIONS FOR WHICH THE PENALTIES ARE REFERRED TO IN PARAGRAPHS (5) AND (6) OF REGULATION 9

SUBPART A: GENERAL

2.001 Applicability

This Table sets out the provisions of regulations to which the offer of pecuniary penalties are applicable for a single violation of a particular provision or, where applicable, for each flight while the violation continues, in accordance with Section 20A of the Civil Aviation Act and any order of the Minister made thereunder.

2.002 General

PART A

Maintenance Manual: (a) Failure to maintain a current manual (b) Failure to provide adequate instructions & procedures in a manual (c) Failure to distribute manual to appropriate personnel.	Regulation 72 – Twelfth Schedule
Failure to provide adequately for proper servicing, maintenance, repair, and inspection of facilities and equipment.	Regulation 33 Sixth Schedule
Training Program: (a) Failure to have or maintain an effective training program. (b) Failure to train specific personnel adequately.	Regulation 74 Fourteenth Schedule
Failure to revise aircraft data after repair	Regulation 30
Records and Reports: (a) Failure to make accurate mechanical interruption summary report. (b) Failure to make available reports of major alterations or repairs. (c) Failure to make accurate mechanical reliability reports (d) Failure to keep maintenance records. (e) Failure to make required entry in aircraft log. (f) Failure to make available pilot records. (g) Failure to make available load manifests.	Regulation 31
Failure to comply with the maximum duty and flight times, or the minimum rest period requirements applicable to personnel in commercial air transport.	Regulation 75
Failure to cooperate with an accident or incident investigation.	Regulation 80 Nineteenth Schedule
Failure to comply with the terms of an aerial applicator certificate and its operations specifications.	Regulation 61
Dropping persons or objects from an aircraft without the required authorization.	Regulation 63
Using an aircraft for towing, picking up, or raising, articles or persons without first obtaining the required authorization.	Regulation 62
Serving alcoholic beverages to, or accepting on board, a person who appears to be intoxicated.	Regulation 56
Failure to perform maintenance according to the applicable maintenance manual or other approved instruction.	Regulation 30

Records and Reports: (a) Failure to make entry in aircraft log. (b) Failure to make entry in worksheets. (c) Failure to sign off work or inspection performed. (d) Failure to complete and sign a Certificate of Release to Service.	Regulation 23 Regulation 31 Regulation 34
Pre-Flight: (a) Failure to use pre-flight cockpit checklist. (b) Failure to check aircraft logs, flight manifests, weather, etc.	Regulation 57 Tenth Schedule
During Taxi: (a) Failure to adhere to taxi clearance or instruction. (b) Collision while taxiing. (c) Taxiing with passenger standing. (d) Causing damage due to Jet blast	Regulation 59
Enroute: (a) Deviation from clearance or instruction. (b) Operating VFR within clouds. (c) Leaving the flight deck for reasons other than duty or physiological. (d) Operation of un-airworthy aircraft. (e) Operating within restricted or prohibited area, or within positive control area without clearance. (f) Operating without required equipment. (g) Fuel mismanagement/exhaustion.	Regulation 59
Failure to close and lock cockpit door.	Regulation 55 Tenth Schedule
Admission of unauthorized personnel to the flight deck.	Regulation 55 Tenth Schedule
Exceeding flight and duty time limitations.	Regulation 75 Fifteenth Schedule
Denial of authorized entry to flight deck.	Regulation 16
Failure to provide adequately for proper servicing, maintenance repairs, and inspection. (a) Failure to satisfy housing and facility requirements. (b) Failure to have enough qualified personnel to complete the volume of work contracted for. (c) Failure to provide an adequate number of personnel to supervise and inspect the work for which the organization is rated. (d) Failure to maintain records of supervisory and inspection personnel. (e) Failure to maintain performance records and reports. (f) Failure to ensure correct calibration of all inspection and test equipment is accomplished at prescribed intervals. (g) Failure to set forth adequate description of work performed. (h) Inspection of work performed or approval for return to service by a person not qualified or approved. (i) Failure to make log entries, records, or reports. (j) Failure to maintain a quality assurance system as approved. (k) Maintaining or altering an article for which it is rated, without using required technical data, equipment, or facilities. (l) Failure to properly perform maintenance, repairs, alterations, and required inspections. (m) Maintaining or altering an airframe, power plant, propeller, instrument, radio, or accessory for which it is not rated.	Regulation 30(2) Sixth Schedule
Failure to report defects or un-airworthy conditions to the Authority in a timely manner.	Regulation 31(2) Regulation 55 Tenth Schedule
Change of location, or facilities without advance written approval.	Regulation 33(5) Sixth Schedule

Failure to revise aircraft data after major repairs or alterations	Regulation 30(5) Fifth Schedule
Failure to perform, or improper performance of, maintenance.	Regulation 30(5) Fifth Schedule
Failure to properly accomplish an inspection.	Regulation 30(5) Fifth Schedule
Failure of mechanic to record inspection.	Regulation 30(5) Fifth Schedule
Failure to make a maintenance record entry.	Regulation 31(3) Regulation 32 (1)
Failure to set forth an adequate description of work performed.	Fifth Schedule
Operation without pilot and medical certificate in personal possession	Regulation 46(1)
Failure to close flight plan or file arrival notice	Regulations 55 & 57, Tenth Schedule 10.350
Failure to comply with special conditions of medical certificate	Regulation 46 & 54, Tenth Schedule 10.070
Operation without required instruments and/or equipment	Regulation 39
Weather Conditions: (a) Failure to comply with visibility minimums in controlled airspace. (b) Failure to comply with visibility minimums outside controlled airspace. (c) Failure to comply with distance from clouds requirements in controlled airspace. (d) Failure to comply with distance from clouds requirements outside of controlled airspace. (e) Failure to comply with IFR landing minimums. (f) Failure to comply with instrument approach procedures	Regulation 57 Regulation 58 Regulation 59

PART B

Failure to comply with an Airworthiness Directive.	Regulation 29
Type Certificate: (a) Technical non-conformity to type certificate, but no likely effect (potential or actual) on safe operation. (b) Non-conformity which may have an adverse effect on safety of operation. (c) Non-conformity which has an adverse effect (actual or potential) on safe operation.	Regulation 27
Operation of an un-airworthy aircraft: (a) Operating an aircraft with defect outside the limits specified by the approved MEL. (b) Operating an aircraft when an inspection has not been accomplished and certified as per the approved maintenance program. (c) Operating an aircraft with an invalid or expired Certificate of Airworthiness.	Regulation 30
Failure to carry out a required inspection, or Duplicate Inspection, prior to releasing an aircraft to service.	Regulation 30
Operation without the required Licence or Rating: (a) Functioning as a crew member without holding the required Licence or rating. (b) Operating with an expired Medical Certificate. (c) Operating without a valid proficiency check. (d) Failure to have a current certificate or license in possession.	Regulation 45 Regulation 46
Wheels up landing	Regulation 50
Short or long landing	Regulation 50
Taxiing collision	Regulation 50
Fuel mismanagement/exhaustion	Regulation 57 Tenth Schedule 10.415

Takeoff: (a) Takeoff against instruction or clearance (b) Takeoff below weather minima (c) Takeoff with aircraft weight higher than MCTOW.	Regulation 59
Approach to Landing: (a) Deviation from clearance or instruction in terminal area. (b) Approach below weather minimums. (c) Exceeding speed limitation in airport traffic areas.	Regulation 59
Landing: (a) Landing at wrong airport. (b) Landing on the wrong runway. (c) Deviation from instrument approach procedure. (d) Overweight landing in other than an emergency. (e) Inadvertent wheels up landing. (f) Short or long landing resulting in the aircraft touching the ground beyond the runway threshold.	Regulation 59
Failure to comply with the authorizations or limitations prescribed by the Authority for commercial air transport.	Regulation 67
Operations Specifications; (a) Failure to comply with inspection and overhaul time limitations (b) Operations contrary to operations	Regulation 69
Failure to comply with the security requirements for commercial air transport.	Regulation 71
Failure to ensure that a maintenance release is completed and signed.	Regulation 72
Installing an unapproved part on an aircraft.	Regulation 72
Failure to insure that a Certificate of Release to Service is completed and signed.	Regulation 72 and 76 Tenth Schedule 10.355
Improperly releasing an aircraft to service. (a) Failure to inspect maintenance performed. (b) Installing an unapproved part. (c) Installing an unserviceable part. (d) Installing a part that does not conform to the aircraft's type certificate.	Regulation 30 Regulation 32 Regulation 72 Regulation 76
Failure to perform, or improper performance of, a maintenance task.	Regulations 30, 32, & 72 Fifth Schedule 5.160
Failure to comply with the minimum passenger-carrying requirements for commercial air transport.	Regulation 73 Thirteenth Schedule
Failure to comply with the minimum and recurrent qualifications for persons involved in commercial air transport.	Regulation 74 Fourteenth Schedule
Releasing an aircraft to service without the required equipment being installed and functional	Regulation 76 Seventh Schedule 7.015(a) - (e)
Improperly returning an aircraft to service; (a) Release of aircraft without required equipment. (b) Release of an aircraft where required work has not been completed.	Regulations 30, 32 & 76 Sixteenth Schedule 16.050
Failure to comply with the flight release requirements for commercial air transport.	Regulation 76 Sixteenth Schedule
Failure to comply with the weight and balance requirements and performance requirements for commercial air transport.	Regulation 77 Seventeenth Schedule
Exceeding operating limitations for Mass and Balance or Performance.	Regulation 77 Tenth Schedule 10.493
Failure to make an accident or incident report.	Regulation 80 Nineteenth Schedule

<p>Rules of the Air and ATC Violations:</p> <ul style="list-style-type: none"> (a) Taxiing, takeoff, or landing without a clearance where ATC tower is in open. (b) Failure to comply with ATC instruction. (c) Failure to maintain radio communications in airport traffic area. (d) Failure to comply with airport traffic pattern. (e) Operation in terminal control area without or contrary to a clearance. (f) Failure to maintain altitude in airport traffic area. (g) Exceeding speed limitations in traffic area. (h) Operation within prohibited or restricted area, or within positive control area. (i) Failure to comply with VFR cruising altitudes. (j) Failure to adhere to right of way rules. (k) Failure to maintain radio watch while under IFR. (l) Failure to display position lights. (m) Failure to maintain proper altimeter settings. (n) Failure to report compulsory reporting points. (o) Deviation from ATC instruction or clearance. (p) Failure to maintain required minimum altitudes over structures, persons, vehicles, or a congested area. 	<p>Regulation 156 Tenth Schedule 10.605-10.655</p>
<p>Security Violations:</p> <ul style="list-style-type: none"> (a) Failure to properly screen baggage (checked or carry-on), cargo, mail, flight catering stores and supplies, company mail, company material or each passenger. (b) Unauthorized access to airport operations area and terminal restricted areas (c) Failure to comply with air carrier security program, including failure to detect weapons, incendiary and other dangerous devices. (d) Management personnel coerce, condone, or encourage falsification of records/reports. (e) Deliberate failure to maintain employee records. (f) Failure to challenge. (g) Failure to test screeners or test equipment. (h) Failure to properly train. (i) Unintentional failure to maintain screener test records. (j) Failure to display identification. (k) Failure to manage/control restricted area pass systems. (l) Failure to conduct employee background check or job history verification. (m) Failure to detect test objects. (n) Failure to comply with approved or current security programme 	<p>Regulation 166(5)</p>